



MAIL STOP AF  
RESPONSE UNDER 37 C.F.R. § 1.116  
EXPEDITED PROCEDURE  
EXAMINING GROUP 1700

RECEIVED  
NOV 19 2003  
TC 1700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: J. Nemeth                          Attorney Docket No.: CASM117536  
Application No.: 09/857,133                          Group Art Unit: 1774  
Filed: May 31, 2001                          Examiner: T. Dicus  
Title: SECURITY DOCUMENT WITH RAISED INTAGLIO PRINTED IMAGE

**RESPONSE TO FINAL OFFICE ACTION**

Seattle, Washington 98101

November 13, 2003

TO THE COMMISSIONER FOR PATENTS:

**REMARKS**

Applicant requests that the above-identified application be re-examined.

The final Office Action in the above-identified application mailed September 4, 2003 ("Office Action"), rejected all of the claims remaining in this application (Claims 1-5, 7-9, and 11-42) on the grounds of double patenting in view of Claims 1-40 of Patent Application No. 09/806,966 (now U.S. Patent No. 6,605,338) and suggested that the filing of a terminal disclaimer could be used to overcome this rejection. As noted in paragraph 4 of the attached Declaration of Bruce Alfred Hardwick (discussed more fully below), U.S. Patent Application No. 09/806,966 (now U.S. Patent No. 6,605,338) is assigned to Securency Pty Ltd. Securency Pty Ltd. is a joint venture between the Reserve Bank of Australia ("RBA") and UCB SA, a Belgian company, which manufactures polymer substrates for use in the manufacture of banknotes. The present application is assigned to Note Printing Australia Limited. Note Printing Australia Limited is a wholly owned subsidiary of RBA. Thus, while there is a relationship between the assignees of this application and U.S. Patent Application No. 09/806,966 (now U.S. Patent No. 6,605,338), the owning companies are different legal entities.

Regardless of the foregoing ownership issues, for the reasons set forth in the response to the last Office Action, which reasons are expanded below, applicant submits that the rejection of

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100